

OPERATIONS UPDATE

GWN...HELPING YOU PROCESS YOUR BUSINESS MORE EFFICIENTLY

Client Document Upload- For your convenience, GWN has implemented a client document upload system to send new business paperwork for approval.

Client Document Upload provides you with a more efficient way to process business, cataloging your paperwork to help you remain organized and proceed with your everyday tasks.



Benefits of the Client Document Upload as follows:

- Once uploaded, client paperwork is immediately placed into GWN's approval workflow queue, awaiting principal review and approval
- Client Document Upload is conveniently located in the Clients / Reports section of the GWN website eliminating the need to work off separate applications
- The workflow prioritizes those applications accompanied by a check
- Advisors immediately see an image of client paperwork through the Client Document Upload system

Click the link below to see the steps on how to use the upload system.

[Client Doc Upload Instructions](#)

Laser App- As a GWN advisor, you are provided access to the industry's most popular client account paperwork system – Laser App. Placing cutting edge technology at your fingertips, Laser App is available via laptop, tablet or smartphone.



On October 1st, we will host a webinar on how to use Laser App. In the next few days you will receive an

invitation with more details, so be on the lookout.

Once you come aboard - Advisors often question what is next in the transition process after they've successfully made the move over to GWN Securities. While everything can be labeled as important – insurance appointments, customer accounts, ADV Brochure, understanding the website, etc... one item every advisor should accomplish is to review the GWN Representative Compliance Manual. There you will find specific procedures to GWN.



frequently
asked
QUESTIONS

Question:

I've confirmed with the VA carrier that my client has successfully been moved from my former broker dealer to GWN Securities. Why can't I see the position on the GWN aggregation system?

Answer:

Generally, GWN receives a position file from the carriers each month. While your position may have been moved at the carrier, it will not reflect on GWN's system until the following month's position file has been received and the position has been established. Once the position has been established, it will be updated daily.

Question:

Does GWN have a discount at FedEx or UPS?

Answer:

GWN does have a discount with FedEx. To receive the discount, please open and account with FedEx. Once opened, you can send your FedEx number to [Dayna Warren](#) who will send it onto GWN who will link it to their discount.

Question:

What is the frequency of commissions to be paid for business run through a Pershing brokerage account, whether fund trails or commissions based on trades?

Answer:

Pershing will send a commission file at the end of the month to GWN. Once received, GWN will pay out in the next commission run (typically the commission run in the middle of the month).